



# **FIDUCIARY BOARD MEETING**

Arizona State Courts Building  
1501 West Washington Street, Phoenix, Arizona 85007  
Hearing Room 109

**October 3, 2017  
2:00 p.m.**

## **Approved Regular Meeting Minutes**

### **MEMBER ATTENDANCE:**

#### **Present:**

#### **Telephonically Present:**

#### **Absent:**

Deborah Primock-Chair  
Teresa Lancaster  
Diana M. Corry  
Elaine Acosta Sweet  
Emily Kile  
Leonard Montanaro  
Hon. Jay M. Polk

Gary L. Krcmarik  
Catherine Robbins

### **OTHER ATTENDEES:**

#### **AOC Staff:**

Mark Wilson  
Michelle Martinez  
Kate Novak  
Wil Castro  
Arnita Oliver

#### **Guests:**

Brett Howard  
Jennie Goldman  
Jen Leitch

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## CALL TO ORDER

*Called to Order By:* Deborah Primock, Chair

*Time:* 2:02 p.m.

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### 1) PENDING COMPLAINTS:

*1-A: Update regarding complaint numbers 17-0018, 17-0019 and 17-0020 involving licensees Ayudando Alpha, Inc. and Bradley Torch*

*Individual Addressing the Board:* Mark Wilson, Division Director

*Discussion:* Defer until next meeting for final determination.

*1-C: Review, discussion and possible action regarding errata contained in the Notice of Formal Statement of Charges concerning complaint number 14-0011.*

*Individual Addressing the Board:* Mark Wilson

*Discussion:* It is recommended that the Board affirm correction of typographical error and note the correct citation as ACJA 7-202(J)(1)(d)(9).

*Motion:* Consideration of and motion to accept staff's recommendation.

*Motion Proposals:* First Elaine Acosta Sweet  
Second Leonard Montanaro

*Motion Results:* Pass

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### 2) INITIAL LICENSURE AND ELIGIBILITY:

*2-A: Review, discussion and possible action regarding the following applications for initial individual licensure:*

1. *Brett Howard*
2. *Jennifer Leitch*

*Individual Addressing the Board:* Hal White

**Discussion:** Division recommends approval of initial fiduciary licensure for Brett Howard.

**Motion:** Consideration of and Motion to accept staff's recommendation.

**Motion Proposals:** First Hon. Jay Polk  
Second Elaine Acosta Sweet

**Motion Results:** Pass

**Individual Addressing the Board:** Wil Castro

**Discussion:** Division recommends approval of initial fiduciary licensure for Jennifer Leitch with standard non-disclosure language.

**Motion:** Consideration of and Motion to accept staff's recommendation.

**Motion Proposals:** First Elaine Acosta Sweet  
Second Leonard Montanaro  
Recused Deborah Primock

**Motion Results:** Pass

**2-B: Review, discussion and possible action regarding the following applications for initial business licensure and renewal of individual licensure:**

1. **San Pedro Fiduciary Services, LLC (Designated Principal, Paul Melo)**
2. **Paul Melo**

**Individual Addressing the Board:** Wil Castro

**Discussion:** **Item considered out of order.**  
Division recommends approval of renewal of the fiduciary Licensure for Paul Melo with the following continuing education remedial actions. On or before May 31, 2018, the applicant will provide to Division proof of an additional 10 hours of CE including the three (3) hours of ethics to be completed for the present licensure period. The 10 hours of CE earned during this renewal period shall not be in the form of self-study and will not count toward current requirements.

**Motion:** Consideration of and motion to accept staff's recommendation.

**Motion Proposals:** First Emily Kile  
Second Hon. Jay Polk

**Motion Results:** Pass

**Individual Addressing the Board:** Wil Castro

**Discussion:** The Division recommends approval of initial fiduciary licensure for San Pedro Fiduciary Services.

**Motion:** Consideration of and motion to accept staff's recommendation.

**Motion Proposals:** First Elaine Acosta Sweet  
Second Hon. Jay Polk

**Motion Results:** Pass

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### **3) RENEWAL OF LICENSURE APPLICATIONS:**

**3-A:** *Review, discussion and possible action regarding the following applications for renewal of individual and business entity licensure:*

- 1. Entrust Fiduciary Services, Inc. (Lisa Price)*
- 2. Lisa Price*

**Individual Addressing the Board:** Wil Castro

**Discussion:** It is recommended renewal of licensure be granted to the following:

1. Entrust Fiduciary Services, Inc. (Lisa Price)
2. Lisa Price

**Motion:** Consideration of and motion to accept staff's recommendation

**Motion Proposals:** First Emily Kile  
Second Leonard Montanaro  
Recused Hon. Jay Polk

**Motion Results:** Pass

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### **4) LICENSURE AND ELIGIBILITY:**

**4-A:** *Review, discussion, and possible action regarding the voluntary surrender of licensure received from Kathryn Munro*

**Individual Addressing the Board:** Wil Castro

**Discussion:** It is recommended the Board accept the request of Ms. Munro to voluntarily surrender her license.

**Motion:** Consideration of and motion to accept staff's recommendation

**Motion Proposals:** First Teresa Lancaster  
Second Emily Kile  
**Motion Results:** Pass

**4-B:** *Review, discussion and possible action regarding request for placement on Inactive Status from the following licensed fiduciaries:*

1. *Sherry Reed*
2. *Brian Terault*

**Individual Addressing the Board:** Wil Castro

**Discussion:** The Division recommends that the Board accept the request and place the above-named licensees on Inactive Status

**Motion:** Consideration of and motion to accept staff's recommendation

**Motion Proposals:** First Emily Kile  
Second Elaine Acosta Sweet  
**Motion Results:** Pass

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## **5) ADMINISTRATIVE ISSUES:**

**5-A:** *Review, discussion and possible action regarding the establishment of the 2018 Board meeting schedule.*

**Individual Addressing the Board:** Michelle Martinez

**Discussion:** Therefore, it is recommended the 2018 meeting calendar be set as follows:

- **January 11, 2018**
- **March 8, 2018**
- **May 10, 2018**
- **July 12, 2018**
- **September 6, 2018**
- **November 15, 2018**

**Motion:** Consideration of and motion to accept 2018 meeting schedule

**Motion Proposals:** First Diana Corry  
Second Teresa Lancaster  
**Motion Results:** Pass

**Item considered out of order.**

***1-B: Review, discussion and possible action regarding settlement offer of Fred Brinckerhoff concerning complaint number 14-001***

***Individual Addressing the Board:*** Mark Wilson

***Discussion:*** It is recommended that the Board reject the settlement offer and allow this matter to proceed to hearing.

***Motion:*** Consideration of and motion to accept staff's recommendation

***Motion Proposals:*** First Elaine Acosta Sweet  
Second Leonard Montanaro

***Motion Results:*** Pass

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## **CALL TO THE PUBLIC**

***Individuals Addressing the Board:*** Assistant Attorney General, Pamela Linnins

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## **ADJOURNMENT**

***Motion:*** Move to adjourn.

***Motion Proposals:*** Jay Polk  
Second Elaine Acosta Sweet

***Motion Results:*** Pass

***Time:*** 2:25 p.m.

Initials: AO